

Existing holder No. and/or Investor ID



Unit Trust application form

Personal details

First applicant

Form fields for the first applicant including Title, First names, Surname, Sex, Date of birth, Relationship to child, Permanent residential address, Daytime telephone number, Evening telephone number, and Memorable name to help with telephone identification.

Second applicant

Form fields for the second applicant including Title, First names, Surname, Sex, Date of birth, Relationship to child, Permanent residential address, Daytime telephone number, Evening telephone number, and Memorable name to help with telephone identification.

Account designation

Persons aged under 18 years cannot apply for unit trusts. However, if you wish to buy units for a child, please state his/her initials (in the account designation box to the left) as a designation.

Please note, a Unit Trust can be opened by up to four applicants. Please contact us on 08457 46 46 46 if you wish to add more applicants.

Investment details

Please indicate your choice of trust(s) and the amount(s) you wish to invest and tick box if you wish to receive an income.

Table with columns for Lump sum, Monthly subscriptions, and Income payment. Rows list various CIS UK Growth Trusts, CIS UK Income with Growth Trust, CIS Sustainable Leaders Trust, CIS European Growth Trust, CIS US Growth Trust, CIS Corporate Bond Income Trust, CIS Sustainable Diversified Trust, and CIS Sustainable World Trust. Includes a Total investment row.

Effective start date of monthly subscription

Month

Year

Income will be automatically reinvested for the European Growth Trust and the US Growth Trust.

MKT1371_WEB

Instruction to your bank/building society to pay by Direct Debits

Form fields for bank/building society details: To: The Manager, Name(s) of account holder(s), Bank/building society account number, Branch sort code.



Originator's identification number

9 7 2 4 4 4

Reference number

Instruction to your bank or building society

Please pay CIS Unit Managers Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with CIS Unit Managers Limited and, if so, details will be passed electronically to my bank/building society.

Signature(s)

Date

Declaration

I/We declare that:

- I am/We are 18 years of age or over and that the information contained in this application is correct to the best of my/our knowledge and belief
- the information contained in this application shall be the basis of my/our contract with CIS Unit Managers Limited; and for the purposes of such contract I/we agree to be bound by the terms and conditions specified by CIS Unit Managers Limited from time to time
- I/We have received the Simplified Prospectus document (Important information about The Co-operative Unit Trusts and ISA) for the Co-operative Investments Unit Trusts
- I am/We are aware that CIS Unit Managers Limited maintains a client money bank account with The Co-operative Bank p.l.c. which is part of the same group of companies as CIS Unit Managers Limited. I/We consent to my/our money being held temporarily as client money in this account whilst my/our instructions are processed
- I/We understand that there is a certain amount of risk involved in investing in unit trusts and that in particular the value of units and the income from them can go down as well as up.

If payment is made other than by a Direct Debit or cheque drawn on an account in your own name, then before processing your application it may be necessary for us to verify your identity. This process involves checking the details you supply against those held on a number of specific databases Experian – the credit reference agency – has access to, for example information from the Electoral Register and fraud prevention agencies. Scoring methods will be used in the verification process as this gives a more thorough check of the available data. A record of this process will be kept that may be used to help other companies verify your identity.

We may also pass information to organisations involved in fraud prevention to protect ourselves and our customers from theft and fraud. If you supply false or inaccurate information and we suspect fraud, we will record this and share this information with other organisations. By signing this form you consent to us performing this check.

Signature

Date

Signature

Date

Your personal information: The information you provide is collected on behalf of CIS Unit Managers Limited, a member of The Co-operative Financial Services and will be used in the administration of your business. The information will be used to ensure our records are accurate and may be analysed by The Co-operative Financial Services to improve our service to you. The information may also be used by members of The Co-operative Financial Services and The Co-operative Group for research and marketing purposes.

You may ask us at any time (for a small fee) to see the information held by us relating to you. If any of this information is inaccurate, you can ask us to correct it. You may also choose not to receive information about our financial products and the other products and services available from The Co-operative Group. Write to Compliance Department, The Co-operative Investments, Miller Street, Manchester M60 0AL, or telephone the Customer Contact Centre on **08457 46 46 46**.

Terms and Conditions of The Co-operative Investments Unit Trusts

These are our standard terms and conditions on which we intend to rely. You should read these terms carefully before signing the Declaration on the previous page. If you do not understand any points, please ask for further information.

General conditions

1. The Manager is CIS Unit Managers Limited. The Holder is a person who has entered into this contract to invest in units in one or more The Co-operative Investments Unit Trusts.
2. These Terms and Conditions, together with the current Terms of Business of The CFS marketing group and the Declarations form part of the terms of this contract.
3. The headings in this document are for guidance only.
4. This contract shall be governed by and interpreted in accordance with the law. All monies payable by the Manager will be paid in sterling from time to time by cheque or by such other method as may be agreed.
5. The Co-operative Investments Unit Trusts are managed by CIS Unit Managers Limited in accordance with the relevant trust deed and Prospectus and the requirements of the rules of the Financial Services Authority. Copies of the Prospectus can be obtained, upon request, free of charge from the Manager. A copy of the relevant trust deed may be inspected on request.
6. The charges made in respect of The Co-operative Investments Unit Trusts together with details of rights to vary them are itemised in the Prospectus.
7. A person who is not a party to this contract has no right under the Contracts (Rights of Third parties) Act 1999 to enforce any term of this contract. This means that only the Holder has the right to enforce a term of this contract (such as asking for any units to be sold) directly against the Manager. However, this does not affect any right or remedy of a third party which exists or is available apart from that Act.

Making subscriptions

8. Subscriptions to The Co-operative Investments Unit Trusts may be made by means of a lump sum or regular monthly payments, with the exception of the CIS UK FTSE4Good Tracker Trust which only accepts lump sum payments.
9. The minimum initial lump sum subscription is £1,000. Subsequent lump sum subscriptions must be at least £500 provided the new investment to individual trusts is at least £100.
10. Lump sum subscriptions may be made by cheque or such other method as may be agreed.
11. Monthly subscriptions must be paid by Direct Debit and must at all times be of a sum in whole pounds of not less than £50. With the agreement of the Manager the amount of the monthly subscriptions may, at any time by giving notice to the Manager, be increased by not less than £25 or decreased.

Missing monthly subscriptions

12. The Holder may discontinue monthly subscriptions at any time. Alternatively, a temporary suspension of subscriptions, for not more than two months in any 12-month period, is allowed. In either case at least 14 days' notice will be required. If a Direct Debit demand is not met, collection will not normally be re-attempted in the same month. If two successive demands are not met where no temporary suspension has been notified and in any event if three demands are not met in any 12-month period, no further Direct Debit demands will be made and no further monthly subscriptions can be paid without the agreement of the Manager.
13. If at any time when monthly subscriptions are not being paid, the value of units held in a trust is less than, or would as a result of instructions received from the Holder to repurchase units fall below, £500, the Manager may repurchase the whole unit holding and pay the proceeds to the Holder.

Selling units

14. Instructions for the sale of units must be in writing and include the Holder's name, address, Holder number and signature. Normally payment will be issued by the end of the fourth business day next after the valuation point following receipt of your instruction.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit CIS Unit Managers Ltd will notify you seven working days in advance of your account being debited or as otherwise agreed. If you request CIS Unit Managers Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by CIS Unit Managers Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
– If you receive a refund you are not entitled to, you must pay it back when CIS Unit Managers Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

Please call 08457 46 46 46 if you would like to receive this information in an alternative format such as large print, audio or Braille.

The Co-operative Investments is a brand name used by CIS Unit Managers Limited.

CIS Unit Managers Limited is authorised and regulated by the Financial Services Authority. Registered Office: Miller Street, Manchester M60 0AL. Registered in England and Wales number 2369965.

Co-operative Financial Services Limited, Registered Office: New Century House, Manchester M60 4ES. Registered Number IP29379R.

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